Curriculum Vitae of Andreas Wagener

Affiliation: School of Economics and Management,
University of Hannover, Germany.

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Main Position: Professor for Economics, esp. Social Policy, and
Director of the Institute of Social Policy,
Leibniz University of Hannover (since 2006).

Further Positions: Dean, School of Economics and Management,
University of Hannover (since 4/2011)
Research Professor, Dept. of International Institutional Comparisons,
ifo Institute for Economic Research Munich (since 10/2010).
Guest Professor for International Taxation, DIBT, Vienna University of Economics and Business Administration (since 10/2010).
Director, Institute of Public Policy and Administration
(Institut für Staatswissenschaft), University of Hannover.

Other Affiliations: Project Leader, *Globalization and Development* (DFG Research and Training Group RTG 1723, since 2012)

Forschungsbeirat (research council), Deutsche Rentenversicherung Bund (since May 2011).

Researcher and Co-Project Leader, *Vulnerability to Poverty in South-East Asia* (DFG Research Unit FOR 756, since 2009).

Lecturer, Diplomatic Academy of Vienna (since 2003).

Forschungsnetzwerk Alterssicherung, Verband deutscher Rentenversicherungsträger (Research Network Old-Age Provisions, since 2001).

Finanzwissenschaftlicher Ausschuss, Verein für Socialpolitik (Public Economics Committee, German Economic Association, since 2005).

Sozialpolitischer Ausschuss, Verein für Socialpolitik (Social Policy Committee, German Economic Association, since 2003).

CESifo Research Fellow, CESifo Munich (since 1996).

CEBR Research Associate, Centre of Economic and Business Research, Copenhagen (since 2003).

Member of various professional associations.

Editor: Co-Editor, *Finnish Economic Papers* (since 2007).

Co-Editor and co-founder, *der moderne staat* (DMS, since 2008).

Education:

May 2002: *Habilitation** in Economics; thesis on *Old-Age Provisions under Uncertainty*, University of Siegen.

May 1996: Doctorate in Economics (Dr. rer. pol.); thesis on *Internationaler Steuerwettbewerb mit Kapitalsteuern* [*International Tax Competition with Taxes on Capital*], University of Siegen.

June 1992: Diploma Degree in Economics (Diplom-Volkswirt), University of Siegen.

* *Habilitation* is a now obsolete second dissertation, previously mandatory in German-speaking countries to qualify for full professorships.

Professional Experience:

Professor for Economics, esp. Social Policy,
Faculty of Economics and Management, University of Hannover;
since October 2006.

Professor for Economics, esp. Public Economics,
Department of Economics, University of Vienna, Austria;
March 2003 – September 2006.

Oberassistent (associate professor),
Institute of Public Finance, University of Siegen, Germany;
June 2002 – February 2003.

Wissenschaftlicher Assistent (assistant professor),
Institute of Public Finance, University of Siegen, Germany;
February 2001 – May 2002.

Research Grant, Deutsche Forschungsgemeinschaft,
Project: *Multi-Pillar Models of Old-Age Provisions*,
February 1999 – January 2001.

Wissenschaftlicher Assistent (assistant professor),
Institute of Public Finance, University of Siegen, Germany;
July 1996 – January 1999.

Wissenschaftlicher Mitarbeiter (lecturer), Institute of Public Finance,
University of Siegen, Germany; October 1995 – May 1996.

Wissenschaftlicher Mitarbeiter (lecturer), Institute of Business
Administration and Banking, University of Münster, Germany;
April 1994 – September 1995.

Wissenschaftlicher Mitarbeiter (lecturer), Institute of Economics,
University of Siegen, Germany; July 1992 – March 1994.

Awards etc.:

Excellent Teaching Award, School of Economics and Management,
University of Hannover.

Research Award 2003, granted by Verband deutscher Rentenversicherungsträger; for the habilitation thesis "Old-Age Provisions under Uncertainty".

"Camilla-Dirlmeier Memorial Prize 2002" of the University of Siegen;
for the habilitation thesis "Old-Age Provisions under Uncertainty".

Offers for full professorships in public economics from the universities of Hamburg (2009), Freiburg (2009), and Muenster (2010); declined.

Grants and Projects:

DFG-RTG 1723 "*Development and Globalization*", Deutsche Forschungsgemeinschaft (DFG, Graduiertenkolleg), 2011.

DFG-FOR 756, "*Impact of Shocks on the Vulnerability to Poverty: Consequences for the Development of Emerging Southeast Asian Economies* " Deutsche Forschungsgemeinschaft (DFG, Forschergruppe), Researcher, 2010.

"Climate Change and Environmental Policy", conference grant by Thyssen-Stiftung, 2008.

"The Incidence and Redistributive Effects of Local Public Finance in Thailand", sponsored by ASEA-UNINET, 2006.

"Fiscal Decentralisation and Local Public Finance in Thailand", sponsored by ASEA-UNINET, 2004.

"The Role of Social Networks for Forest and River Preservation", sponsored by Japanese Ministry of Education and Österreichischer Austauschdienst (ÖAD), 2004.

"Old-Age Provisions under Uncertainty", habilitation grant, awarded by Deutsche Forschungsgemeinschaft (DFG), 1999–2001.

Scholarship by "Paul-Reusch-Jugendstiftung", 1987–1992.

Referee for: Journal of Public Economics, International Tax and Public Finance, Scandinavian Journal of Economics, Economica, European Economic Review, Journal of Public Economic Theory, Finanzarchiv, European Journal of Political Economy, Journal of Economic Theory, Journal of Population Economics, Regional Science and Urban Economics, Jahrbücher für Nationalökonomie und Statistik, Japanese Economic Review, Bulletin of Economic Research, German Economic Review, Social Choice and Welfare, Theory and Decision, Public Choice, International Review of Law and Economics, Jahrbuch für Regionalwissenschaft, Economic Theory, Journal of Macroeconomics,

Journal of Institutional and Theoretical Economics, Annales d'Economie et de Statistique, Chulalongkorn Journal of Economics, Mathematical Social Sciences, Decisions in Economics and Finance, Financijska Teorija i Praksa, Southern Economic Journal, Journal of Economics and Business, CESifo Economic Studies, International Game Theory Review, Journal of Management Mathematics, Journal of Economic Behaviour and Organization, der moderne staat, Journal of Urban Economics, Finnish Economic Papers, Journal of Economic Modelling, Economics Bulletin, Management Science, Macroeconomic Dynamics, Empirical Economics.

Deutsche Forschungsgemeinschaft (DFG), German-Israeli Foundation, Deutscher Akademischer Austauschdienst (DAAD), Wolfgang Schulze-Stiftung, Studienstiftung des deutschen Volkes, "Unity through Knowledge"-Fund Croatia, Jubilaeums-Fond of the Austrian National Bank, C.H. Beck Verlag Muenchen.

Various universities in recruitment committees.

Regular reviewer for *Mathematical Reviews*.

Conferences etc.: Since 1993 more than 140 presentations of own research at national and international conferences, research workshops, and invited seminars.

A complete list of presentations is available on request.

Organization: Workshop "Neues Kommunales Rechnungswesen: Der Gesamtabschluss [Accounting in Local Communities]", Hannover, February 2011.

Conference "Die niedersächsische Kommunalverfassung [Communal Law in Lower Saxony]", Hannover; November 2009.

Conference "Climate Change and Environmental Policy", Siegen, October 2008.

Conference "Das Design des Staates – Fragen der subnationalen Funktionenordnung [The Design of the State – Functional Fiscal Federalism]", Hannover, September 2008.

Workshop "Capital Taxation after EU-Enlargement", Austrian National Bank, Vienna, January 2005.

CEBR-CESifo Conference "Pension Reform", Copenhagen, June 2005.

Teaching: Since 1992 at the universities of Siegen, Münster, Vienna, and Hannover, at Chulalongkorn University Bangkok, at the University of Economics and Business Vienna, at the Diplomatic Academy Vienna, and at the University of St. Gallen.

Courses and seminars in public economics, micro- and macroeconomics, social policy, public choice, social choice, theory of economic policy, business administration, banking.

A complete list is available on request.

Further teaching: Numerous talks, seminars, and panel discussions for political parties, associations, and interest groups

In-house trainer for accounting and controlling for a large German machinery-producing company (1999–2003).

Publications by Andreas Wagener (April 2012)

A. Current Working Papers

Please also have a look at `http://www.sopo.uni-hannover.de/wagener.html`

- (with Tobias Koenig and Tobias Lausen) The Public Provision of Private Goods when Status Matters. Discussion Paper (2012).
- (with Tobias Koenig) Culture and Tax Structures. CESifo Working Paper No. 3784 (2012).
- (with Martin Kolmar) Social Gambit. Discussion Paper (2011).
- (with Volker Meier) Do Mobile Pensioners Threaten the Deferred Taxation of Savings? CESifo Working Paper No. 3617 (2011).
- (with Panu Poutvaara and Tuomas Takalo) The Optimal Duration of Contracts. Discussion Paper (2011).
- (with Bernd Hardeweg and Hermann Waibel) Comparing Vulnerability to Poverty across Rural Provinces in Thailand and Vietnam: A Distributional Approach (2011, revise-and-resubmit, Journal of Asian Economics).
- (with Martin Kolmar) Group Identities in Conflicts. Discussion Paper (2011; revise-and-resubmit, Journal of Conflict Resolution).
- (with Thomas Eichner) Tempering Effects of (Dependent) Background Risks: A Mean-Variance Analysis of Portfolio Selection (2010; under review).
- Tax Competition, Relative Perfomance, and Policy Imitation. CESifo Working Paper No. 2723 (2009; revise-and-resubmit, *International Economic Review*).
- (with Ana B. Ania) Decentralized Redistribution when Governments Care About Relative Performance. Discussion Paper (2009).
- In the Melting Pot: Assimilation, Integration, and Uniform Societies. Discussion Paper (2010; under review).

- (with Tobias Koenig) (Post-)Materialist Attitudes and the Mix of Capital and Labour Taxation. CESifo Working Paper No. 2366 (2008).
- (with Ana B. Ania) Laboratory Federalism: the Open Method of Coordination (OMC) as an Evolutionary Learning Process. CESifo Working Paper No. 2601 (2008; revise-and-resubmit, *Journal of Public Economic Theory*).
- (with Martin Kolmar) Formula Apportionment and Tax Competition: The Role of the Tax Base Definition. CESifo Working Paper No. 2097 (2007; under review).
- (with Andreas Pfingsten) The Manipulation of Strategic Sequential Choice Processes (2004).
- Pension Clubs (2005; under review).
- First-Mover Disadvantages in Convex One-Round Location Games (2005; under review).
- (with Thomas Eichner) The Effects of a Tobin Tax on Speculation and Hedging (2004).
- The Effects of Social Security Provisions on Entrepreneurial Risk Taking (revised, 2007).
- (with Panu Poutvaara) P(r)aying for Friends: Religious Groups and the Market for Social Contacts. CEBR Discussion Paper 2003-15, Kopenhagen (2003).

B. Forthcoming Articles

- (with Tobias Koenig) Tax Structure and Government Expenditures under Tax Equity Norms. Forthcoming, *Journal of Economic Behavior and Organization*.
- (with Martin Kolmar) Inefficient Group Organization as Optimal Adaption to Dominant Environments. Forthcoming, *Economic Inquiry*.
- (with Martin Kolmar) Contests and the Private Provision of Public Goods. Forthcoming, *Southern Economic Journal*).

C. Articles in Refereed Journals

- (with Thomas Eichner) Increases in Skewness and Three-Moment Preferences. *Mathematical Social Sciences* 61 (2011), 109-113.
- (with Thomas Eichner) Beneficial Changes in Dependence Structures and Two-Moment Decision Models. *Operations Research Letters* 38 (2011), 227-230.
- (with Thomas Eichner) Portfolio Allocation and Asset Demand with Mean-Variance Preferences. *Theory and Decision* 70 (2011), 179-193.
- (with Katarina Keller and Panu Poutvaara) Does Military Draft Discourage Enrollment in Higher Education? Evidence from OECD Countries. *Finanzarchiv* 66 (2010), 97-120.
- (with Panu Poutvaara) The Invisible Hand Plays Dice: Multiple Equilibria in Sects Markets. *Public Choice* 145 (2010), 483-502.
- (with Thomas Eichner) Multiple Risks and Mean-Variance Preferences. *Operations Research* 57 (2009), 1142-1154.
- (with Katarina Keller and Panu Poutvaara) Military Draft and Economic Growth in OECD Countries. *Defence and Peace Economics* 20 (2009), 373-393.
- (with Margit Schratzenstaller) The Austrian Income Tax, 1955-2006. *Empirica* 36 (2009), 309-330.
- (with Panu Poutvaara) Why is the Public Sector More Labor-Intensive? A Distortionary Tax Argument. *Journal of Economics* 94 (2008), 105-124.
- (with Julio R. Robledo) No Spurious Welfare Gains from Taxation: A Characterization of the Equivalent Variation. *Economics Letters* 96 (2007), 325-330.
- (with Panu Poutvaara) To Draft or Not to Draft: Inefficiency, Intergenerational Incidence, and Political Economy of Military Conscription. *European Journal of Political Economy* 23 (2007), 975-987.
- (with Rüdiger Pethig) Profit Tax Competition and Formula Apportionment. *International Tax and Public Finance* 14 (2007), 631-655.
- Saving, Retirement Decisions, and Pension Risk. *Finanzarchiv* 63 (2007), 107-132.

- Chebyshev's Algebraic Inequality and Comparative Statics under Uncertainty. *Mathematical Social Sciences* 52 (2006), 217-221.

- (with Worawet Suwanrada) Wages, Land Prices, and Local Public Goods in Thailand: A Hedonic-Pricing Approach. *Quarterly Journal of International Agriculture* 45 (2006), 455-475.

- Geometric Division with a Fixed Point: Not Half the Cake, But At Least 4/9. *Group Decision and Negotiation* 15 (2006), 43-53.

- (with Thomas Eichner) Measures of Risk Aversion: Correspondences between the Mean-Variance- and the Expected Utility Approach. *Decisions in Economics and Finance* 28 (2005), 53-66.

- Linear Risk Tolerance and Mean-Variance Utility Functions. *Economics Bulletin* 4 (2005), 1-8.

- (with Thomas Paulsson and Robert Sproule) The Demand for a Risky Asset: Signing, Jointly and Separately, the Comparative Statics of Three Distributional Shifts. *Metroeconomica* 56 (2005), 221-231.

- (with Morten I. Lau and Panu Poutvaara) Dynamic Costs of the Draft. *German Economic Review* 5 (2004), 381-406.

- (with Thomas Eichner) The Welfare State in a Changing Environment. *International Tax and Public Finance* 11 (2004), 313-331.

- (with Thomas Eichner) Insurance Demand, the Elasticity of Risk Aversion, and Relative Prudence: A Further Result. *OR Spectrum* 26 (2004), 441-446.

- (with Thomas Eichner) Relative Risk Aversion, Relative Prudence, and Comparative Statics under Uncertainty: The Case of (μ, σ)-Preferences. *Bulletin of Economic Research* 56 (2004), 159-170.

- On Intergenerational Risk-Sharing Within Social Security Schemes. *European Journal of Political Economy* 20 (2004), 181-206.

- (with Andreas Pfingsten) Bargaining Solutions as Social Compromises. *Theory and Decision* 55 (2003), 359-389.

- (with Thomas Eichner) Variance Vulnerability, Background Risks, and Comparative Statics with Mean-Variance Preferences. *The GENEVA Papers on Risk and Insurance Theory* 28 (2003), 173-184.
- Equilibrium Dynamics with Different Types of Pay-As-You-Go Pension Schemes. *Economics Bulletin* 8 (2003), 1-12.
- Comparative Statics under Uncertainty: The Case of Mean-Variance Preferences. *European Journal of Operational Research* 151 (2003), 224-232.
- Pensions as a Portfolio Problem: Fixed Replacement vs. Fixed Contribution Rates Reconsidered. *Journal of Population Economics* 16 (2003), 111-134.
- (with Thomas Eichner) More on Parametric Characterizations of Risk Aversion and Prudence (2001). *Economic Theory* 21 (2003), 895-900.
- Intergenerational Transfer Schemes as Incomplete Social Contracts (2002). *Constitutional Political Economy* 13 (2002), 337-359.
- Demokratien ausserhalb Athens? [Democracies in Antiquity. A Game-Theoretic Comment] (2002). *The Swiss Journal of Sociology* 28 (2002), 359-366.
- Prudence and Risk Vulnerability in Two-Moment Decision Models. *Economics Letters* 74 (2002), 229-235.
- (with Sao-Wen Cheng), Altruism and Donations, *Jahrbuch für Wirtschaftswissenschaften (Review of Economics)* 52 (2001), 59-76.
- Double Bertrand Tax Competition: A Fiscal Game with Governments Acting as Middlemen. *Regional Science and Urban Economics* 31 (2001), 273-297.
- Dummensteuern und die (Un-)Möglichkeit ihrer Vermeidung [Fools' Taxes and the (Im-) Possibility to Avoid Them]. *Jahrbücher für Nationalökonomie und Statistik* 221 (2001), 87-101.
- Entrepreneurship and Social Security, *Finanzarchiv* 57 (2000), 284-315.
- Variable Population Size Issues in Models of Decentralized Income Redistribution. *Regional Science and Urban Economics* 30 (2000), 609-625.

- Irreversible Entscheidungen bei unsicheren Steueränderungen: Der Fall der Erbschaft- und Schenkungsteuer [Irreversible Decisions with Uncertain Tax Changes: The Case of the German Inheritance Tax]. *Zeitschrift für Betriebswirtschaft* 69 (1999), 377-401.

- (with Andreas Pfingsten), Centralized vs. Decentralized Redistribution: A Case for Interregional Transfer Mechanisms, *International Tax and Public Finance* 4 (1997), 429-451.

- Corporate Finance, Capital Market Equilibrium, and International Tax Competition with Capital Income Taxes, *Finanzarchiv* 53 (1997), 480-514.

- (with Norbert Krawitz), Theorie der Besteuerung aus volks- und betriebswirtschaftlicher Sicht [Theories of Taxation in Business Administration and Economics], *Homo Oeconomicus* 14 (1997), 135-164.

- (with Thomas Eichner and Andreas Pfingsten), Strategisches Abstimmungsverhalten bei Verwendung der Hare-Regel: Irrationalität bei der Vergabe der Olympischen Sommerspiele 1996 an Atlanta? [Strategic Voting and the Hare Rule: Was the Decision to Give the 1996 Summer Olympics to Atlanta Irrational?]. *Zeitschrift für betriebswirtschaftliche Forschung* 48 (1996), 466-474.

- (with Andreas Pfingsten), Fiskalischer Föderalismus als Anwendungsgebiet der Spieltheorie [Fiscal Federalism as an Application of Game Theory], *Homo Oeconomicus* 12 (1995), 223-259.

D. Books

- *Old-Age Provisions under Uncertainty* (2002). (Habilitation thesis; unpublished).

- *Internationaler Steuerwettbewerb mit Kapitalsteuern* [International Tax Competition with Taxes on Capital]. Physica: Heidelberg 1997 (Dissertation).

E. Articles in Contributed Volumes:

- (with Panu Poutvaara) The Political Economy of Conscription. In: Coyne, Chris, and Rachel L. Mathers (eds.), *The Handbook on the Political Economy of War*. Edward Elgar: London etc. 2011, 154-175 (also: IZA Discussion Paper No. 4429).

- Warum hört niemand auf die Ökonomen? In: Lamping, Wolfram, and Henning Schridde (eds.), *Der konsultative Staat. Reformpolitik und Politikberatung.* Opladen 2011.

- (with Andreas Pfingsten), Tax Competition, Environmental Standards, and Public Funds Sharing in a Federation. A Note. In: Lübke, Eckhard, and Heinz Grossekettler (eds.), *Beiträge zur Wirtschafts- und Finanzpolitik.* Berlin 1999, 161-170.

- Die Europäische Union im Steuerwettbewerb: Versagt der Markt der Nationen? [Tax Competition in the European Union: Does the Market of Nations Fail?] In: Haller, Max et al. (eds.): *Europa in Beschäftigung.* Graz 1999, 115-140.

- (with Andreas Pfingsten), Die Fuzzy-Logik des Maastricht-Vertrages: Knapp vorbei ist nicht voll daneben [The Fuzzy Logic of the Maastricht Treaty]. In: Erlei, Mathias et al. (eds.): *Beiträge zur angewandten Wirtschaftstheorie.* Regensburg 1999, 219-253.

F. Articles in Non-Refereed Journals and Miscellaneous

- (with Panu Poutvaara) Ending Military Conscription. *CESifo DICE Report* 9-2 (2011), 36-43.

- Book review of Yew-Kwang Ng: Increasing Returns and Economic Efficiency (Oxford University Press: Oxford etc.). *Journal of Economics* 100 (2010), 85-89.

- Armut, Ungleichheit und Globalisierung. UniMagazin 3/4 (2008), Leibniz University Hannover, 50-53.

- When No Dye Helps: The Greying of Germany. *Chulalongkorn Journal of Economics* (2007).

- (with Panu Poutvaara) Conscription: Economic Costs and Political Allure. *Economics of Peace and Security Journal* 2 (2007), 5-15.

- (with Walpurga Köhler-Töglhofer and Margit Schratzenstaller; eds.) *Capital Taxation after EU Enlargement.* Conference Volume, Austrian National Bank (OeNB), 2005.

- Benefit Rules for Social Security Schemes: A Portfolio Approach (2002). *Chulalongkorn Journal of Economics* 14, 293-324.

- Das “verlorene Jahr”: Die ökonomischen Kosten der Wehrpflicht [One Year Lost: The Economic Costs of the Draft]. *Die Presse*, 19.01.2004.

- Rentenrisiko und private Vorsorge: Anmerkungen zur Rentenreform 2001 [Pension Risks and Private Old-Age Provisions: Remarks on the 2001 Pension Reform]. *Sozialer Fortschritt* 8/2001, 188-192.

- Ab und zu muss auch mal der Verlierer gewinnen. Zur US-Präsidentenwahl aus Sicht der social-choice-Theorie [Sometimes the Loser Should Win: The US Presidential Elections from a Social Choice Perspective]. *Wirtschaftswissenschaftliches Studium* 30 (2001), 669-672.

- Wie man zwei Fliegen mit einer Klappe verfehlt. Zur Diskussion um Ökosteuern [How One Stone Misses Two Birds. Comments on Eco-Taxes]. *Blätter für deutsche und internationale Politik* 7/2000, 853-861.

- Le Royaume-Uni - douze points: Der Grand Prix d'Eurovision als kollektives Entscheidungsverfahren [Le Royaume-Uni - Douze Points: The Eurovision Song Contest as a Social Choice Procedure]. *Wirtschaftswissenschaftliches Studium* 28 (1999), 498-501.

- Book Review of Janeba, Eckhard: International Tax Competition (Mohr Siebeck: Tübingen 1997), *Finanzarchiv* 55 (1998), 261-264.

- (with Andreas Pfingsten), Gibbard/Satterthwaite-Theorem, Hare-Regel und die Vergabe der Olympischen Sommerspiele 1996 an Atlanta [Gibbard/Satterthwaite-Theorem, Hare Rule, and the 1996 Summer Olympics in Atlanta], *Wirtschaftswissenschaftliches Studium* 25 (1996), 355-357.

G. Work in Progress:

- (with Hermann Butzer, Marian Döhler, and Veith Mehde) Das Management der Staatsverschuldung (Public Debt Management). Book Project.
- (with Tibor Neugebauer and Sascha Füllborn) The Welfare State and Risk Taking: An Experimental Test. Discussion Paper (2011).
- Welfare Stigma: A Survey. Discusssion Paper (2011).
- Comonotonicity and Aggregate Vulnerability. Discussion Paper (2010).